

Certifications on Behalf of the CPA Firm:

1. The individual signing certifies that he/she is authorized to contract on behalf of the CPA firm.
2. The individual signing certifies that the audit firm is not involved in any agreement to pay money or other consideration for the execution of this agreement, other than to an employee of the CPA firm.
3. The individual signing certifies that the prices in this proposal have been arrived at independently, without consultation, communication, or agreement, for the purpose of restricting competition.
4. The individual signing certifies that the prices quoted in this proposal have not been knowingly disclosed by the CPA firm prior to an award to any other CPA firm or potential CPA firm.
5. The individual signing certifies that there has been no attempt by the CPA firm to discourage any potential CPA firm from submitting a proposal.
6. The individual signing certifies that the CPA firm is a properly licensed certified public accountant or a public accountant licensed on or before (date of licensing).
7. The individual signing certifies that the CPA firm meets the independence standards of the *Government Auditing Standards*.
8. The individual signing certifies that he/she is aware of and will comply with the GAO's continuing education requirement of 80 hours of continuing education every two years and 24 hours of the 80 hours of education will be in subjects directly related to the government environment and to government auditing for individuals.
9. The individual signing certifies that he/she is aware of and will comply with the GAO requirement of an external quality control (peer) review at least once every three years.
10. The individual signing certifies that he/she has read and understands the following publications relative to the proposed audits:
 - a. *Government Auditing Standards* (Yellow Book)
 - b. *Title 2 U. S. Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (Uniform Guidance)*
 - c. *Audits of Not-for-Profit Entities* (AICPA Audit Guide)
 - d. *Audits of State and Local Governments* (AICPA Audit Guide)
 - e. Other applicable administrative and program requirements and audit compliance supplements

f. DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA) for the agency's 403B Retirement Plan Audit.

11. The individual signing certifies that he/she has read and understands all of the information in this Request for Proposal, including the information on the programs/grants/contracts to be audited.

12. The individual signing certifies that the CPA firm, and any individuals to be assigned to the audits, does not have a record of substandard audit work and has not been debarred or suspended from doing work with any federal, state, or local government. If the CPA firm or any individual to be assigned to the audits has been found in violation of any state or AICPA professional standards, this information must be disclosed.

Dated this _____ day of _____, 2018.

CPA Firm Name

Signature of CPA Firm Representative

Printed Name and Title of Individual Signing